#### Characterizing the Retention of UPW Filters Using a Polydispersed Silica Challenge

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### Introduction

- Particles present in ultrapure water can deposit onto the wafer surface during semiconductor manufacturing processes resulting in decreased yield and reduced circuit reliability.
- While UPW filters are effective in reducing the concentration of particles, the unrelenting path toward smaller feature sizes increases the challenge for the filters to capture smaller particles.
- Several test methods have been published over the past 5 years that seek to quantify filter removal efficiency for particles smaller than 50 nanometers.
- SEMI C79 was introduced in 2013 as a guideline for evaluating the effectiveness of UPW filters in capturing particles 15 nm and smaller utilizing relatively mono-dispersed silica particles.
- This paper reviews the expansion of this test method to measure particle retention of UPW filters using a poly-dispersed challenge that more closely mimics "real life" particle size distributions typically found in UPW systems.

## Outline

- SEMI C79-0113, "Guide to Evaluate the Efficacy of Sub-15 nm Filters Used in Ultrapure Water (UPW) Distribution System", method review.
- Areas of investigation and enhancements.
- Expanded particle size distribution (PSD) methodology.
  - Filters tested
  - Retention results
  - Observations
- Recommendations
- Future Activities

### SEMI C79-0113

- Generally used for testing cartridge filters; also applicable to UF modules.
- Flow rate is established as a function of filter surface area (face velocity of 0.8 cm/min for cartridge filters; 0.6 cm/min for UF).
- The challenge suspension contains colloidal silica particles with a mean size between 5 and 15 nanometers.
- The suggested challenge concentration is 5E+09 particles per mL at the filter.
- The filter is challenged to a minimum of one monolayer (typically 4 6 hours).
- Particle concentrations are measured by two methods:
  - Grab samples are taken for off-line concentration analysis via inductively-coupled plasma mass spectrometry (ICP/MS).
  - Continuous measurement of filtrate particle concentration.\*

\*In this paper, all particle concentration data presented was generated using a Liquid Nanoparticle Sizing system (LNS).

#### SEMI C79 Test Schematic





15

Particle diameter (nm)

20

30

Filtrate and challange PSD - Ludox<sup>®</sup> SM30 at start of 3E9/mL challenge

(Run #1)

3.5e+10

5

6 7 8 9 10

#### Filter retention as a function of particle diameter.

Retention of different sized silica particles - 3E9 challenge



Test method work conducted in support of developing SEMI test guidelines for measuring retention of 5-15 nm filters used with UPW.

Van Schooneveld, et al. Ultrapure Water - Micro 2016

# Areas of Investigation and Potential Enhancement to SEMI C79

- Reduce the challenge concentration.
- Select a challenge particle size distribution (PSD) that better reflects the "typical" PSD-s expected in UPW (log-log slope of -2 to -4).

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# The effect of concentration on retention

Example 1: 12nm silica, 0.95 cm/min



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### Area Weighted Silica (AW Si)



### Challenge Weightings

- Number Weighted:  $\sum N_{SM30} = \sum N_{TM40} = \sum N_{SnowOL}$
- Diameter Weighted:  $\sum D_{SM30} = \sum D_{TM40} = \sum D_{SnowOL}$
- Area Weighted:  $\sum A_{SM30} = \sum A_{TM40} = \sum A_{SnowOL}$
- Volume Weighted:  $\sum V_{SM30} = \sum V_{TM40} = \sum V_{SnowOL}$

### Effect of PSD Weighting on Retention



- Overall retention can be effected by the PSD weighting factor.
- "Real world" distribution is likely between area-weighted and volume-weighted.
- Area-weighted was selected due to better counting statistics for the larger particles as compared to the volume-weighted challenge.

# Enhanced SEMI C79 Testing

- Three silica particles with modes of 12, 30 and 50 nanometer are used.
- The concentration of each particle type is adjusted to achieve equal area concentrations.
- The challenge concentration is reduced to 1.5E9 particles per mL  $\geq$  10 nm.
- LRVs up to 2 can be measured for particles ranging from 10 to 70 nm.
- Filter face velocity during the challenge is 0.8 cm/min.
- Typical loading is to 1.25 monolayers (based on projected particle cross-sectional areas assuming perfectly spherical particles).
- Extended testing to 10 monolayers can be used to assess the effect of long-term loading conditions.

### Filter Media Tested

- Three filter types tested:
  - Polyarylsulfone (PAS)
  - Polytetrafluoroethylene (PTFE)
  - Charge modified Nylon 6,6
- All filters in this study were hydrophilic.
- Filters have been randomly designated as Types A C.
- Multiple retention rating tested ranging from 20 to 100 nm.

#### Filter Retention Data

# Retention Example – AW Si



# Retention Example – AW Si



#### Particle capture mechanisms beyond sieving

10 Under certain conditions, particle Capture • 10 by Sieving capture can result from additional Capture by 10 Fractional Penetration mechanisms: Interception -12 10 Diffusion -16 Combined Capture by 10 Diffusion Capture by Interception -20 10 Interception -24 Electrostatic attraction 10 and Diffusion -28 10 -32 10 MPPS -36 10 0.065µm DIFFUSION **ELECTROSTATIC** INERTIAL DEPOSITION -40 10 IMPACTION 0.03 0.01 0.1 0.3 Particle Diameter, um FLUID STREAMLINES Source: Grant, Liu, Fisher. Journal of Environmental Science, July/August 1989

FIBER CROSS

SECTION

INTERCEPTION

GRAVITATIONAL

SETTING

1.0

### Influence of Filter Retention Rating



- MPPS may be present over a range of retention ratings.
- MPPS appears to be correlated with retention rating.

#### Influence of Filter Media



# Influence of Loading



- Particle loading decreases the retention at the MPPS.
- MPPS appears to be stable with loading.

# Observations

- The particle size distribution (PSD) of the challenge can influence the retention of a filter.
- A most penetrating particle size (MPPS) was observed with multiple filters types and ratings.
- Retention at the MPPS decreased with filter loading.
- Using a poly-dispersed challenge can provide additional insight into the retention capabilities of a filter compared to a mono-dispersed challenge.

# Recommendations

- Consider updating SEMI C79 by:
  - Adding to or replacing the mono-dispersed silica challenge with an area-weighted silica challenge.
  - Reducing the target challenge concentration at the filter to 1.5E9/ml ≥ 10 nm.

# Future Activities

- Continue testing filters using area-weighted silica in an effort to understand the retention mechanisms associated with the most penetrating particle size.
- Extend the size range of the AW Si challenge by adding a 5 to 10 nm silica particle to the challenge.
- Investigate the influence of other particle types and charges on filter retention and the presence of a MPPS.

#### Polydispersed Polystyrene Latex



### Positively Charge Particle (AW++)



#### Particle Charge (Zeta Potential)



- Charges on all three silica particles are strongly negative at the pH of UPW.
- Charges on both alumina particles are strongly positive at pH of UPW.
- The charge on the zirconia particles is moderately positive at pH of UPW.

#### Effect of Particle Charge on Retention

Area Weighted ZrO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> – Positive

Area Weighted SiO<sub>2</sub> – Negative



• Particle charge can have a significant effect on retention

#### Closing thought...

We still have some interesting and challenging work to do in building a comprehensive understanding of retention of sub-50nm particles in UPW.





#### Thank you for your attention!



